

**AMENDMENTS TO THE DRAWINGS:**

The attached drawings include FIG. 1-15, 16A-16D, 16E-1, 16E-2, 16F, 16G, 17-26, 26A and 27. The sheet containing FIG. 1-15, 16A-16D, 16E-1, 16E-2, 16F, 16G, 17-26, 26A and 27 replaces the original sheet including FIG. 1-27.

Approval of the drawings is respectfully requested.



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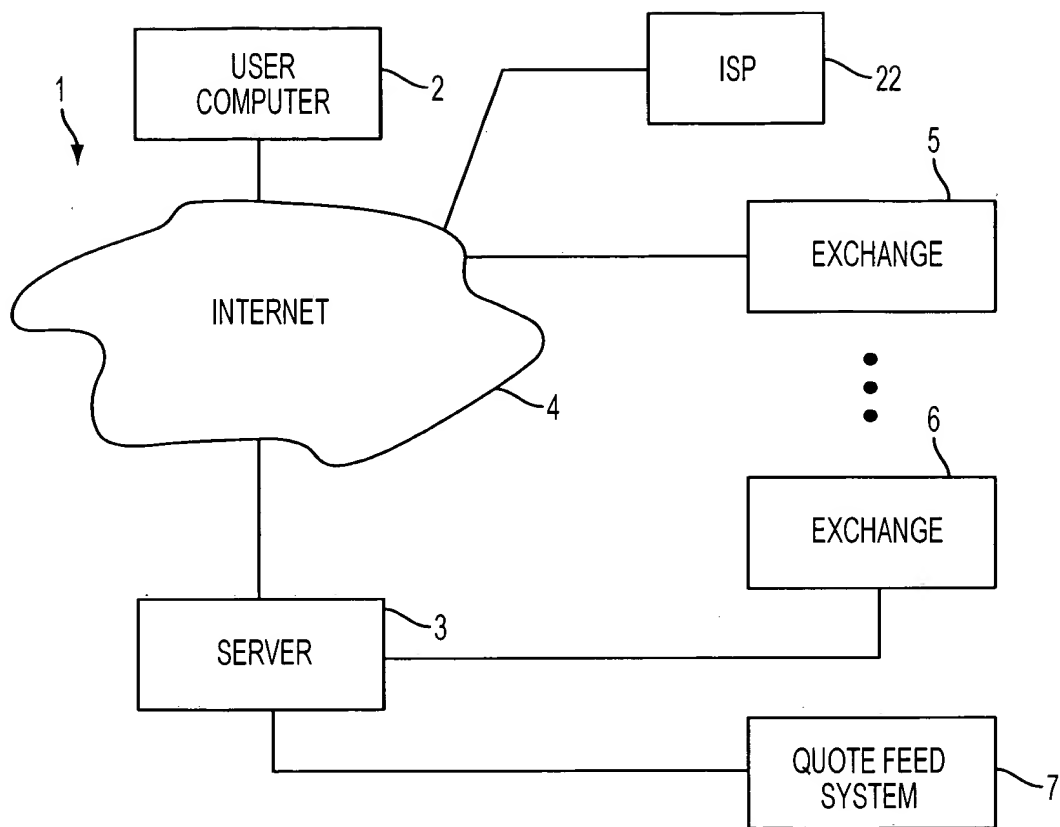


FIG. 1



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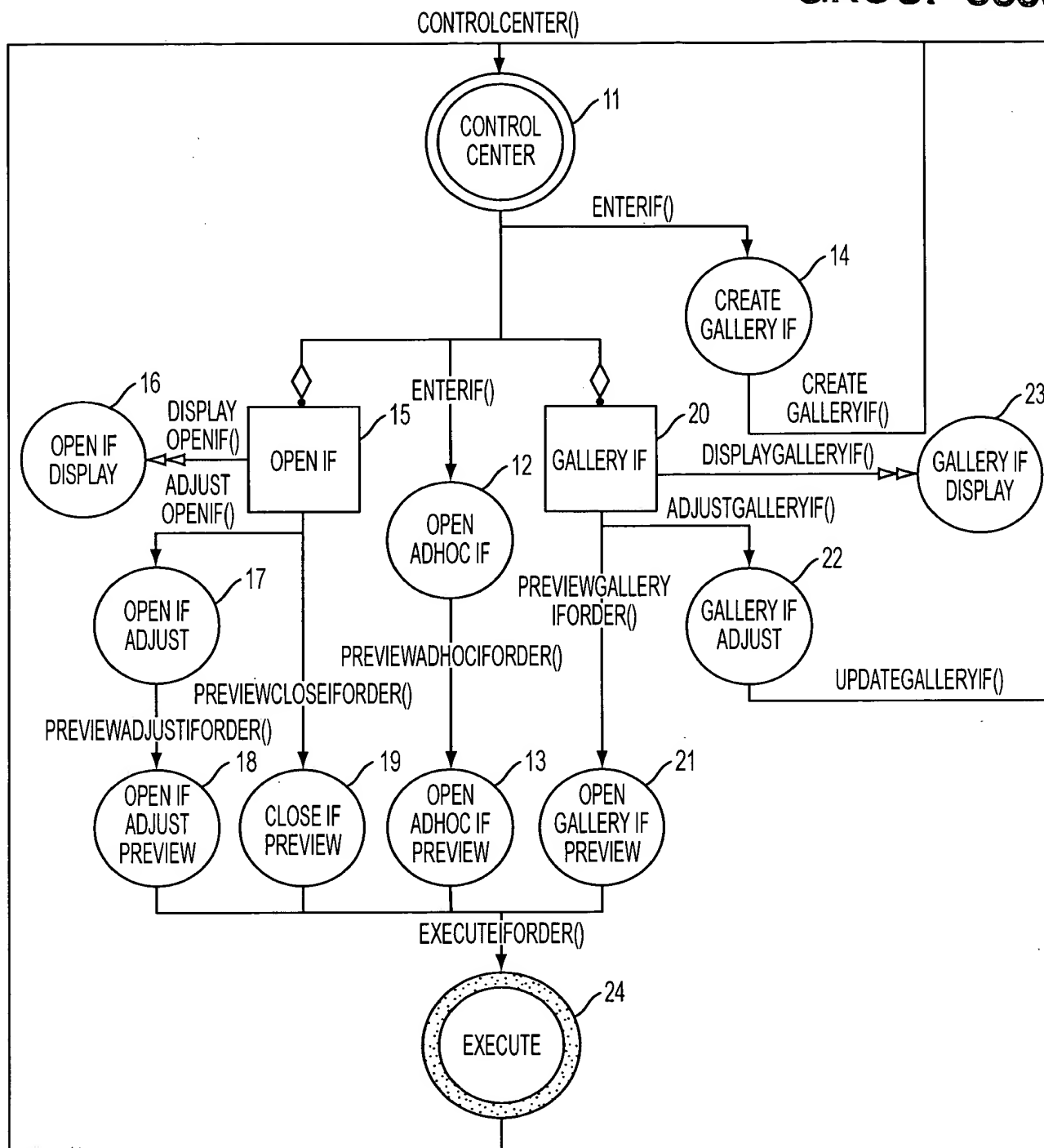


FIG. 2



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# [unx.com] / account selection

30

Account ID	Type	Status	Customer ID	Customer Name	Access Level
100026	Cash	Open	100013	UNX.COM, Inc.	Inquiry
.....					
					<div>34</div> <div>Select</div>

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FIG. 3



# [unix.com] / control center

Account: 100026  
Customer: UNIX.COM, Inc. (100013)

58 control center

60 open IF position

62 create gallery IF

64 account history

66 asset search

68 log-out

40 Auto Update ☐

42 \$10,102.00  
\$0.00

44

45

50

52

Adjust	Name	ID	Date	Status	Initial	NAV	Current	NAV	Gain/Loss	Close
Adjust	Tech Trio	1564	06/12/2000	F	\$9,847.12	70.3366	\$10,102.00	72.1571	\$254.87	Close

C - Cancelled F - Filled P - Partial W - Working  
Click on an IF Name to display details.

46

56

Adjust	Name	ID	Date	Side	Type	IFI	CMV	Open
Adjust	DOW	1265	06/08/2000	Long	CAP	NAV	\$200,000.00	Open
Adjust	Foss Borthor's Small Cap	1286	06/06/2000	Long	CAP	100	\$50,000.00	Open
Adjust	Fred's Basket	1314	06/15/2000	Long	CAP	NAV	\$50,000.00	Open
Adjust	LIMCO Fund	1303	06/07/2000	Long	USR	NAV	\$62,462.50	Open
Adjust	Matt's Top 20	1307	06/08/2000	Long	DLR	NAV	\$50,000.00	Open
Adjust	peter's basket	1312	06/14/2000	Long	CAP	NAV	\$50,000.00	Open
Adjust	PSM Stocks	1302	06/07/2000	Long	CAP	NAV	\$50,000.00	Open
Adjust	rob's if	1310	06/13/2000	Long	CAP	NAV	\$100,000.00	Open
Adjust	SAFT End Basket	1304	06/07/2000	Long	DLR	NAV	\$50,000.00	Open
Adjust	Utilities	1123	05/03/2000	Long	USR	NAV	\$188,275.75	Open
Adjust	Wiley's pick	1308	06/08/2000	Long	DLR	NAV	\$500,000.00	Open
Adjust	XClmod	1293	06/06/2000	Long	DLR	NAV	\$250,000.00	Open

Gallery IFs are staged for fast execution but do not represent open positions.  
Click on an IF Name to display details.

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FIG. 4

**[unix.com]/enter IF**

Account: 100026  
Customer: UNIX.COM, Inc. (100013)

## Open IF Position

control  
center

open IF  
position

create gallery IF

account history

asset  
search

log-out

**IF Name:**

Dollar Amount:

Share Threshold:

**Buy or Sell:**

### Asset Weighting:

Individual Fund Index Type:

**Lot Size:**

Symbols to Order (separated by spaces, commas or returns):

csc0 ibm

User Defined IFs must have share quantities or composition percentages following each symbol.

## Preview Order

Clear Order

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FIG. 5



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# [unix.com]/ order preview

Account: 100026  
Customer: UNX.COM, Inc. (100013)

- control center
- open IF position
- create gallery IF
- account history
- asset search
- log-out

## Open IF Order Preview

Preview generated at: 06/16/2000 11:16:31 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value
CSCO	74	B	*	\$4,971.88
IBM	42	B	*	\$4,887.75
JR	116	Open	84.9968	\$9,859.62

**Warning:** Executing this order will submit orders for each asset listed in the quantities specified. The pricing information displayed is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Execute Order

Cancel Order

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FIG. 6



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# [unix.com]/ execution status

Account: 100026  
Customer: UNX.COM, Inc. (100013)

## IF Order Executing

228

control  
center

open IF  
position

create  
gallery IF

account  
history

asset  
search

Log-out

Your order has been submitted for execution.

You should receive an order confirmation by email shortly. You may also navigate to the Account Summary page to view your current order status.

Your individual Fund Order ID is: 1695

Account Summary

.....  
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FIG. 7





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From: FLEXI@UNIX.COM  
Sent: Thursday, June 22, 2000 11:37 AM  
To: ITAlert@unix.com,randy@unix.com,irene@unix.com  
Subject: Confirmation for IF: 1695

IF Trade Confirmation

Broker: Universal Network Exchange, LLC. — 24  
Account ID: 100026 — 242  
Customer Name: UNIX.COM, Inc. — 243  
Customer ID: 100013  
Contact ID: 100045  
Position: Open — 246  
Trade Date: 06/22/2000 — 247  
Settle Date: 06/27/2000 — 248  
Email Date: 06/22/2000 10:36:35 Pacific Daylight Time — 249  
Email List: ITAlert@unix.com, randy@unix.com, irene@unix.com — 250

229

ID	Symbol	Description	CUSIP	Time	Side	Shares	Price	Principal	Comm	Fee	Net Money
63223	AOL	AMERICA ONLINE INC.	02364J104	10:35:48	BUY	200	57.0000	11,400.00	10.00	0.00	11,410.00
63221	CSCO	CISCO SYSTEMS, INC.	17275R102	10:35:48	BUY	800	65.6250	52,500.00	20.00	0.00	52,520.00
63224	DELL	DELL COMPUTER CORPORATION	247025109	10:35:48	BUY	300	49.5000	14,850.00	10.00	0.00	14,860.00
63222	IBM	IBM	459200101	10:35:48	BUY	200	113.1250	22,625.00	10.00	0.00	22,635.00
1695		RBHot				1500	67.5833	101,375.00	50.00	0.00	101,425.00
230						236		238	239	240	241

FIG. 8



# / adjust SB

Account: 100000  
Customer: Oscarito Family Limited Partnership (100003)

- ☐ cont
- ☐ open
- ☐ crea
- ☐ acc
- ☐ asse
- ☐ log

## Stock Basket Balancer

Inflow/Outflow:  264

Asset Weighting:  266

Share Threshold:  268

Do not close to Rebalance: ☐ 270

Round Lots Only: ☐ 272

Symbols:

- NITE
- INRS
- DCLK
- EXDS
- YHOO
- AEIS
- CMGI
- FIBR

274

260

278  276

## Current Positions

Symbol	Quantity	B/S	Current Price	Market Value
NITE	860	B	*	\$29,777.50
INRS	598	B	*	\$30,199.00
DCLK	894	B	*	\$30,340.13
EXDS	607	B	*	\$29,705.06
YHOO	313	B	*	\$29,461.13
AEIS	858	B	*	\$30,030.00
CMGI	1,003	B	*	\$29,149.69
FIBR	1,162	B	*	\$29,631.00
GNET	552	B	*	\$29,670.00
LCOS	427	B	*	\$29,729.88
B10	7,274	B	40.9257	\$297,693.38

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- 
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- 
- 
- 
- 



FIG. 9



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control center

open IF position

create gallery IF

account history

asset search

log-out

# [unx.com]/ close IF

Close IF Order

Preview generated at: 06/19/2000 15:33:00 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value	Close
CSCO	(89)	S	*	\$6,129.88	<input checked="" type="checkbox"/>
IBM	(22)	S	*	\$2,631.75	<input checked="" type="checkbox"/>
AOL	(29)	S	*	\$1,576.88	<input checked="" type="checkbox"/>
Tech Trio	140	Close	73.8464	\$10,338.50	<input type="checkbox"/>

Uncheck

Warning: Executing this order will submit orders for each asset listed in the quantities specified. The Expected pricing information is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Execute Order

Cancel Order

Account: 100026

Customer: UNX.COM, Inc. (100013)

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FIG. 10



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control center

open IF position

create gallery IF

account history

asset search

log-out

# [unix.com]/ search

Asset search

Asset Symbol:

332

334

Start Search

330

Account: 100026

Customer: UNIX.COM, Inc. (100013)

.....

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FIG. 11



# [unix.com]/ search results

Account: 100026  
Customer: UNX.COM, Inc. (100013)

- control center
- open IF position
- create gallery/IF
- account history
- asset search
- log-out

Asset Search 332

Asset Symbol:

Start Search 334

## Open Positions for Symbol: CSCO

ID	Entered At	Qty	Initial	Total	Current	Total	Gain/Loss	IF ID	Close
57708	06/12/2000 12:21:18	89	63.7500	\$5,673.75	*	\$5,985.25	\$311.50	1564	Close
Totals:		89	63.7500	\$5,673.75	*	\$5,985.25	\$311.50		

Click on an IF ID to display IF details.

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FIG. 12

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# [unx.com]/ open IF

Account: 100026  
Customer: UNX.COM, Inc. (100013)

## Open IF Order

Preview generated at: 06/16/2000 11:29:32 Pacific Daylight Time

Symbol	Quantity	B/S	Current Price	Market Value
AA	37	B		\$1,184.00
AXP	69	B		\$3,635.44
T	162	B		\$5,447.25
BA	47	B		\$1,844.75
CAT	18	B		\$655.87
C	174	B		\$10,918.50
KO	128	B		\$7,136.00
DD	54	B		\$2,558.25
EK	16	B		\$953.00
XOM	180	B		\$15,120.00
GE	512	B		\$26,496.00
GM	32	B		\$2,068.00
HD	119	B		\$5,927.69
HON	41	B		\$2,065.38
HWP	51	B		\$5,925.56
IBM	91	B		\$10,573.06
INTC	173	B		\$22,273.75
IP	21	B		\$694.31
JPM	8	B		\$962.00
JNJ	72	B		\$6,498.00
MCD	69	B		\$2,233.88
MRK	119	B		\$8,634.94
MSFT	272	B		\$19,839.00
MMM	20	B		\$1,712.50
MO	118	B		\$3,127.50
PG	67	B		\$3,760.38
SBC	176	B		\$8,426.00
UTX	24	B		\$1,443.00
WMT	231	B		\$12,690.56
DIS	107	B		\$4,453.88
DOW	3,208	Open	62.1128	\$199,257.94

380

382

- control center
- open IF position
- create gallery IF
- account history
- asset search
- log-out

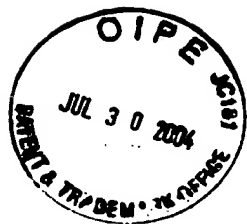
Warning: Executing this order will submit orders for each asset listed in the quantities specified. The pricing information displayed is provided as a base approximation only. The price that your order will actually be executed at may vary widely from these figures. The FLEXII execution system is highly optimized for rapid order execution. Once submitted you will not be able to cancel this order. This is your last chance to cancel the above order. This order is not pending and will not be executed unless you click the Execute Order button below.

Execute Order 386

Cancel Order 382

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FIG. 13



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# Open IF Detail

Broker: Universal Network Exchange, LLC. Customer Name: UNX.COM, Inc. 410  
Account ID: 100026 Customer ID: 100013  
Position: Open Display Date: 06/19/2000 15:29:13 Pacific Daylight Time

Assets Executions 414

ID	Name/Symbol	Date/Time	Shares	Price	Principal	Comm	Fee	Net Money	CMV	Gain/Loss
1564	Tech Trio	06/12/2000 12:21:18	140	70.3366	9,847.12	30.00	0.00	9,877.12	10,364.19	487.06
57710	AOL	06/12/2000 12:21:18	29	52.8750	1,533.38	10.00	0.00	1,543.38	1,580.50	37.13
57708	CSCO	06/12/2000 12:21:18	89	63.7500	5,673.75	10.00	0.00	5,683.75	6,135.44	451.69
57709	IBM	06/12/2000 12:21:18	22	120.0000	2,640.00	10.00	0.00	2,650.00	2,648.25	(1.75)

(Click any row to collapse/expand children)

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FIG. 14



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Open IF Detail

Broker: Universal Network Exchange, LLC. Customer Name: UNX.COM, Inc. 420  
Account ID: 100026 Customer ID: 100013  
Position: Open Display Date: 06/19/2000 15:29:13 Pacific Daylight Time

Assets Executions

422

ID	Name/Symbol	Date/Time	Shares	Price	Principal	Comm	Fee	Net Money	CMV	Gain/Loss
1564	Tech Trio	06/12/2000 12:21:18	140	70.3366	9,847.12	30.00	0.00	9,877.12	10,364.19	487.06
57710	AOL	06/12/2000 12:21:18	29	52.8750	1,533.38	10.00	0.00	1,543.38	1,580.50	37.13
	AOL	06/12/2000 12:21:23	29	52.8750	1,533.38	10.00	0.00	1,543.38		
57708	CSCO	06/12/2000 12:21:18	89	63.7500	5,673.75	10.00	0.00	5,683.75	6,135.44	451.69
	CSCO	06/12/2000 12:21:35	89	63.7500	5,673.75	10.00	0.00	5,683.75		
57709	IBM	06/12/2000 12:21:18	22	120.0000	2,640.00	10.00	0.00	2,650.00	2,648.25	(1.75)
	IBM	06/12/2000 12:21:25	22	120.0000	2,640.00	10.00	0.00	2,650.00		

(Click any row to collapse/expand children)

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FIG. 15





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# [unx]/history

Universal Network Exchange

Account: 100000  
Customer: Oscarito Family Limited Partnership (100003)

- control center
- open SB position
- create gallery SB
- account history
- asset search
- log-out

## Account History

### By SB

Start Date:	9/20/00	
Stop Date:	9/30/00	All SBs
IF Name:	DOW10	Name Lookup
IF ID:		ID Lookup

### By Asset

Start Date:		
Stop Date:		All Assets
Symbol:		Symbol Lookup
Asset ID:		ID Lookup

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FIG. 16A



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[unx]/history

Universal Network Exchange

control center

open SB position

create gallery SB

account history

asset search

log-out

Account: 100000

Customer: Oscarito Family Limited Partnership (100003)

SB History

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
B20	2797	09/28/2000	Open	\$399,565.39	\$400,015.09	\$449.71
B10	2796	09/28/2000	Open	\$300,104.18	\$299,725.94	(\$378.24)
Qshort	2751	09/28/2000	09/28/2000	\$74,175.00	\$72,660.33	(\$1,514.67)
T5	2750	09/27/2000	Open	\$499,867.01	\$511,884.67	\$12,023.66
B20	2717	09/22/2000	09/25/2000	\$400,014.22	\$398,989.12	(\$1,025.10)
Nshort	2684	09/21/2000	Open	(\$39,080.73)	(\$40,937.50)	(\$1,856.77)
Total:				\$1,634,639.07	\$1,642,337.66	\$7,698.58

Click on an SB ID to display SB details.

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FIG. 16B



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# [unx]/ history

Universal Network Exchange

Account: 100000  
Customer: Oscarito Family Limited Partnership (1000003)

## SB History

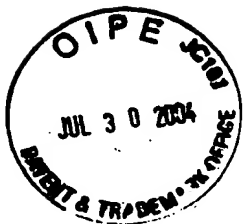
- control center
- open SB position
- create gallery SB
- account history
- asset search
- log-out

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
B10	2796	09/23/2000	Open	\$330,104.18	\$299,725.94	(\$376.24)
B10	2869	09/19/2000	09/21/2000	\$301,200.58	\$292,514.83	(\$8,685.75)
B10	2584	09/13/2000	09/15/2000	\$300,050.74	\$293,846.83	(\$6,203.91)
B10	2519	09/07/2000	09/08/2000	\$299,165.44	\$286,076.13	(\$13,089.31)
B10	2439	08/30/2000	09/05/2000	\$299,663.23	\$298,273.26	(\$1,389.97)
B10	2426	08/28/2000	08/29/2000	\$300,563.78	\$298,169.53	(\$2,384.26)
B10	2272	08/11/2000	08/25/2000	\$301,240.60	\$329,694.75	\$28,454.15
B10	2186	08/04/2000	08/09/2000	\$300,157.49	\$308,520.16	\$8,362.67
B10	2127	07/31/2000	08/01/2000	\$300,394.14	\$292,036.81	(\$8,357.33)
B10	2051	07/25/2000	07/27/2000	\$300,276.22	\$296,020.69	(\$4,255.53)
B10	2009	07/20/2000	07/21/2000	\$300,309.42	\$287,693.01	(\$12,616.41)
Total:				\$3,303,115.60	\$3,282,571.92	(\$20,543.89)

Click on an SB ID to display SB details.

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FIG. 16C



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[unx]/history

Universal Network Exchange

control center

open SB position

create gallery SB

account history

asset search

log-out

Account: 100000

Customer: Oscarito Family Limited Partnership (100003)

SB History

Name	ID	Open Date	Close Date	Buy Net/Open	Sell Net/CMV	Gain/Loss
T5	2750	09/27/2000	Open	\$499,861.01	\$511,884.67	\$12,023.66
Total:				\$499,861.01	\$511,884.67	\$12,023.66

Click on an SB ID to display SB details.

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FIG. 16D



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GROUP 3600

[unx]/history

Universal Network Exchange

Asset History

Account: 100000  
Customer: Oscarito Family Limited Partnership (100003)

control center	ID	Symbol	Entered At	Qty	Price	Total	IF ID
	109982	EXDS	09/28/2000 12:53:59	405	49.3438	\$19,984.22	2797
	109983	RNWK	09/28/2000 12:53:59	492	40.5938	\$19,972.13	2797
open SB position	109980	PVN	09/28/2000 12:53:59	154	129.6250	\$19,962.25	2797
	109981	RSAS	09/28/2000 12:53:59	437	45.8072	\$20,017.75	2797
create gallery SB	109979	ITWO	09/28/2000 12:53:59	104	190.5000	\$19,812.00	2797
	109978	MWD	09/28/2000 12:53:59	222	90.000	\$19,980.00	2797
	109977	LCOS	09/28/2000 12:53:59	284	70.2188	\$19,942.13	2797
account history	109976	FWJ	09/28/2000 12:53:59	285	70.1875	\$20,003.44	2797
	109974	MER	09/28/2000 12:53:59	306	65.1875	\$19,947.38	2797
	109975	SCH	09/28/2000 12:53:59	569	35.1250	\$19,986.13	2797
asset search	109972	CMGI	09/28/2000 12:53:59	668	29.9063	\$19,977.38	2797
	109973	INKT	09/28/2000 12:53:59	168	118.3817	\$19,888.13	2797
	109971	TERN	09/28/2000 12:53:59	576	34.8422	\$20,069.13	2797
	109970	DLJ	09/28/2000 12:53:59	223	89.4375	\$19,944.56	2797
log-out	109969	MUSE	09/28/2000 12:53:59	95	209.5000	\$19,902.50	2797
	109967	AFCI	09/28/2000 12:53:59	525	38.0426	\$19,972.34	2797
	109968	VIXT	09/28/2000 12:53:59	470	42.5000	\$19,975.00	2797
	109966	LEH	09/28/2000 12:53:59	135	147.2500	\$19,878.75	2797
	109965	MRVC	09/28/2000 12:53:59	421	47.8539	\$20,146.50	2797
	109964	ZRAN	09/28/2000 12:53:59	384	52.0303	\$19,979.63	2797
	109962	GNET	09/28/2000 12:53:52	552	54.3125	\$29,980.50	2796
	109963	LCOS	09/28/2000 12:53:52	427	70.1329	\$29,946.75	2796
	109960	CMGI	09/28/2000 12:53:52	1,003	29.9219	\$30,011.69	2796
	109961	FIBR	09/28/2000 12:53:52	1,162	25.8125	\$29,994.13	2796
	109959	AEIS	09/28/2000 12:53:52	858	35.0000	\$30,030.00	2796
	109957	EXDS	09/28/2000 12:53:52	607	49.3438	\$29,951.66	2796

FIG. 16E-1



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# [unx]/history

Universal Network Exchange

## Asset History

Account: 100000  
Customer: Oscarito Family Limited Partnership (100003)

	ID	Symbol	Entered At	Qty	Price	Total	IF ID
	109958	YHOO	09/28/2000 12:53:52	313	95.7300	\$29,963.50	2796
	109956	DCLK	09/28/2000 12:53:52	894	33.5638	\$30,006.06	2796
	109955	INRS	09/28/2000 12:53:52	598	50.2398	\$30,043.38	2796
	109954	NITE	09/28/2000 12:53:52	860	34.8750	\$29,992.50	2796
	108484	NOK	09/28/2000 11:58:49	500	41.1875	\$20,593.75	2684
	108483	NOK	09/28/2000 11:55:16	500	41.1875	\$20,593.75	2684
	108482	QCOM	09/28/2000 10:53:52	500	74.8750	\$37,437.50	2751
	108481	QCOM	09/28/2000 09:01:49	500	73.4250	\$36,712.50	2751
	108480	NOK	09/28/2000 08:54:37	2,000	40.3750	\$80,750.00	2684
	108479	SUNW	09/28/2000 08:45:52	158	120.4374	\$18,788.24	2750
	108477	MSFT	09/28/2000 08:45:52	314	61.6222	\$19,349.38	2750
	108478	ORCL	09/28/2000 08:45:52	263	79.3453	\$20,867.81	2750
	108476	INTC	09/28/2000 08:45:52	447	44.2220	\$19,767.25	2750
	108475	CSCO	09/28/2000 08:45:52	371	56.9375	\$21,123.81	2750
	108474	NOK	09/28/2000 07:34:12	(1,000)	39.5625	(\$39,562.50)	2684
	108473	QCOM	09/28/2000 07:00:46	(1,000)	72.6878	(\$72,687.75)	2751
	108472	NOK	09/28/2000 06:59:54	(1,000)	39.3750	(\$38,375.00)	2684
	108471	SUNW	09/27/2000 12:56:57	677	118.0625	\$79,928.31	2750
	108469	MSFT	09/27/2000 12:56:57	1,318	60.6563	\$79,944.94	2750
	108470	ORCL	09/27/2000 12:56:57	1,002	79.7813	\$79,940.81	2750
	108467	CSCO	09/27/2000 12:56:57	1,393	57.4106	\$79,972.94	2750
	108468	INTC	09/27/2000 12:56:57	1,824	43.8438	\$79,971.00	2750
			Totals:	22,962		(\$1,243,378.20)	

Click on an IF ID to display IF details.

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FIG. 16E-2



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[unx]/history

Universal Network Exchange

control center

open SB position

create gallery SB

account history

asset search

log-out

Asset History

ID	Symbol	Entered At	Qty	Price	Total	IF ID
108475	CSCO	09/28/2000 08:45:52	371	56.9375	\$21,123.81	2750
108467	CSCO	09/27/2000 12:56:57	1,393	57.4106	\$79,972.94	2750
105968	CSCO	09/21/2000 12:58:51	(969)	61.1480	(\$59,262.37)	2668
105258	CSCO	09/21/2000 07:05:43	(652)	62.0625	(\$40,464.75)	2668
Totals:					143	(\$1,379.63)

Click on an IF ID to display IF details.

Account: 100000

Customer: Oscarito Family Limited Partnership (100003)

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FIG. 16F



RECEIVED  
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GROUP 3600

[unx]/history

Universal Network Exchange

Asset History

control center

open SB position

create gallery SB

account history

asset search

log-out

ID

Symbol

105258

CSCO

Entered At

Qty

Price

09/21/2000 07:05:43

(652)

62.0625

Totals:

09/21/2000 07:05:43

(652)

Click on an IF ID to display IF details.

Account: 100000

Customer: Oscarito Family Limited Partnership (100003)

Total

IF ID

(\$40,464.75)

2368

\$40,464.75

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FIG. 16G





[unx.com]/move

control center

open IF position

create gallery IF

account history

asset search

log-out

Account: 100026  
Customer: UNX.COM, Inc. (100013)

Current IF: Aggressive Growth (3293)

Asset: 1,200 MSFT 510

Select target IF from Gallery

Name	ID	Date	Side	Type	IFI	CMV	Move To:
<u>DOW</u>	1265	07/25/2000	Long	PRI	NAV	\$200,000.00	<div>Preview</div> 512
<u>Growth 10</u>	1345	06/29/2000	Long	CAP	NAV	\$100,000.00	<div>Preview</div>
<u>max basket</u>	1403	07/17/2000	Long	DLR	NAV	\$10,000.00	<div>Preview</div>
<u>PSM Stocks</u>	1302	06/27/2000	Long	CAP	NAV	\$50,000.00	<div>Preview</div>
<u>Wired</u>	1317	06/27/2000	Short	DLR	NAV	\$100,000.00	<div>Preview</div>

Gallery IFs are staged for fast execution but do not represent open positions.  
Click on an IF Name to display details.

.....

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FIG. 17



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[unx.com]/rotate

Account: 100026  
Customer: UNX.COM, Inc. (100013)

550

Current IF: Aggressive Growth (3293)

Select target IF from Gallery

Name	ID	Date	Side	Type	IFI	CMV	Rotate To:
<u>DOW</u>	1265	07/25/2000	Long	PRI	NAV	\$200,000.00	Preview
<u>Growth 10</u>	1345	06/29/2000	Long	CAP	NAV	\$100,000.00	Preview
<u>max basket</u>	1403	07/17/2000	Long	DLR	NAV	\$10,000.00	Preview
<u>PSM Stocks</u>	1302	06/27/2000	Long	CAP	NAV	\$50,000.00	Preview
<u>Wired</u>	1317	06/27/2000	Short	DLR	NAV	\$100,000.00	Preview

Gallery IFs are staged for fast execution but do not represent open positions.  
Click on an IF Name to display details.

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FIG. 18



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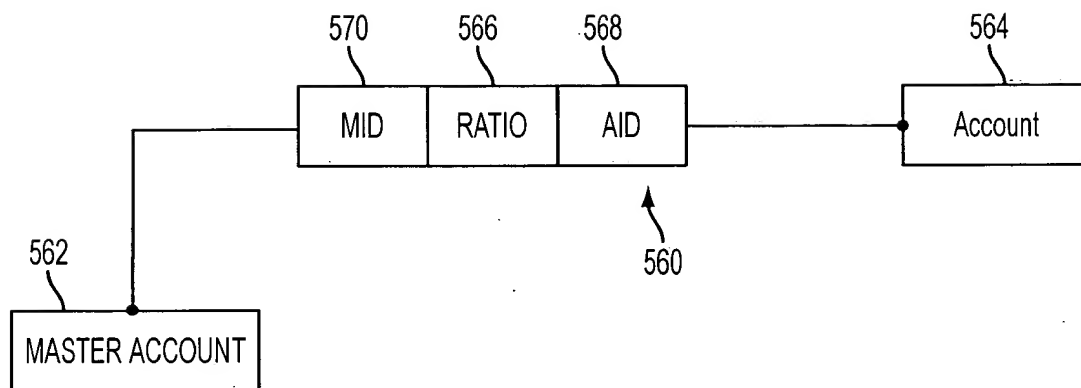


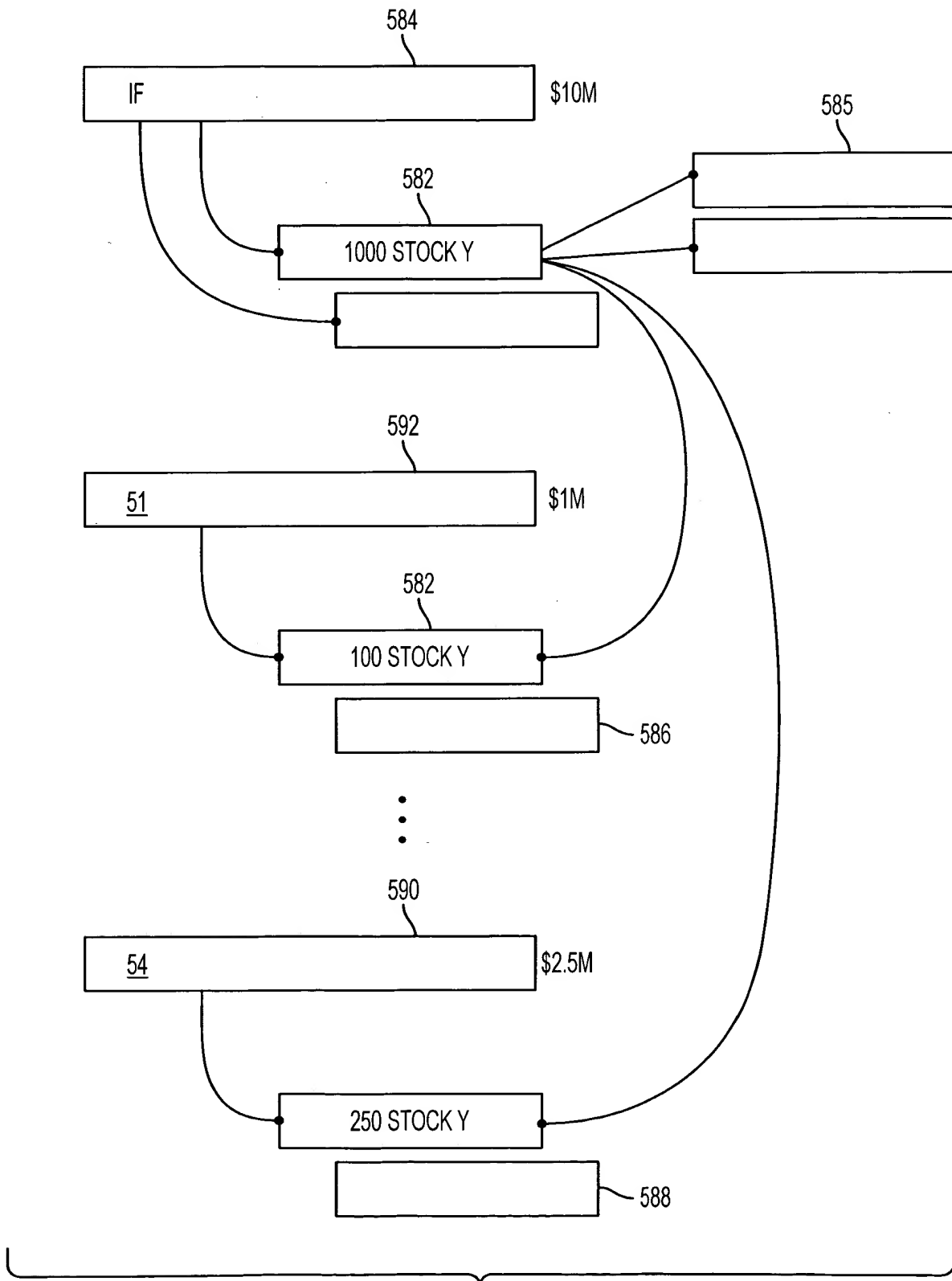
FIG. 19



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GROUP 3600





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AUG 4 - 2004

GROUP 3600

# [unx.com]/subaccount contribution

602				Principal		604
Account ID	Type	Status	Customer ID	Customer Name		View
100012	Margin	Open	100013	Teidar Paper, Inc.	\$65,000	View
100014	Margin	Open	100013	The Gecko Family	\$1,235,000	View
100019	Cash	Open	100013	Darryl Smith	\$55,000	View
100026	Cash	Open	100013	The Simpson Trust	\$85,678	View

Accept

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600

FIG. 21



RECEIVED  
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GROUP 3600

# [unix.com]/enter IF

Account: 100026  
Customer: UNIX.COM, Inc. (100013)

## Open IF Position

control center

open IF position

create gallery IF

account history

asset search

Log-out

IF Name:  612

Maximum Dollar Amount:  614

Minimum Dollar Amount:  616

Buy or Sell:  618

Market Order: ☐ 620

Limit Order: ☒ 620

Limit Order NAV:  622

Stop: ☒ 624

Stop NAV:  626

Asset Weighting:  628

Allowed Variance:  630

Time In Force:  632

Symbols to Order (separated by spaces, commas or returns):

634

MSFT  
INTC  
DELL  
CSCO  
IBM  
HWP  
WCOM  
CPQ  
ORCL  
SUNW

User Defined IFs must have share quantities or composition percentages following each symbol.

636

638

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FIG. 22



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GROUP 3600

[[unx.com](#)]/gallery

Account: 100026  
Customer: UNX.COM, Inc. (100013)

IF Gallery

control  
center

open IF  
position

create  
gallery IF

account  
history

asset  
search

log-out

652

Name	ID	Date	Side	Type	Last	Bid	Ask	Var	CMV	Open
<u>DOW</u>	1265	07/25/2000	Long	PRI	75.125-	75.125	75.187	\$17,000	\$200,000.00	Preview
<u>Growth 10</u>	1345	06/29/2000	Long	CAP	27.875+0	27.750	27.875	\$5,000	\$100,000.00	Preview
<u>max basket</u>	1403	07/17/2000	Long	DLR	86.125-	86.000	86.187	.	\$10,000.00	Preview
<u>PSM Stocks</u>	1302	06/27/2000	Long	CAP	71.500+	71.375	71.500	.	\$50,000.00	Preview
<u>Wired</u>	1317	06/27/2000	Short	DLR	93.375+	93.250	93.500	.	\$100,000.00	Preview

Gallery IFs are staged for fast execution but do not represent open positions.  
Click on an IF Name to display details.

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650

FIG. 23



RECEIVED  
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GROUP 3600

# [unix.com]/enter IF

Account: 100026  
Customer: UNX.COM, Inc. (100013)

## Open IF Position

control  
center

open IF  
position

create  
gallery IF

account  
history

asset  
search

log-out

IF Name: Custom Routing

Dollar Amount: 100000

Share Threshold: 50

Buy or Sell: Buy ▼

Asset Weighting: Dollar ▼

Individual Fund Index Type: NAV (Net Asset Value) ▼

Lot Size: Odd Lots OK ▼

Symbols to Order (separated by spaces, commas or returns):

INTC #ISLD  
CSCO #SLKC  
MSFT  
DELL #NITE  
WCII  
VRTS #REDI  
666 662 668

664

User Defined IFs must have share quantities or composition percentages following each symbol.

Preview Order

Clear Order

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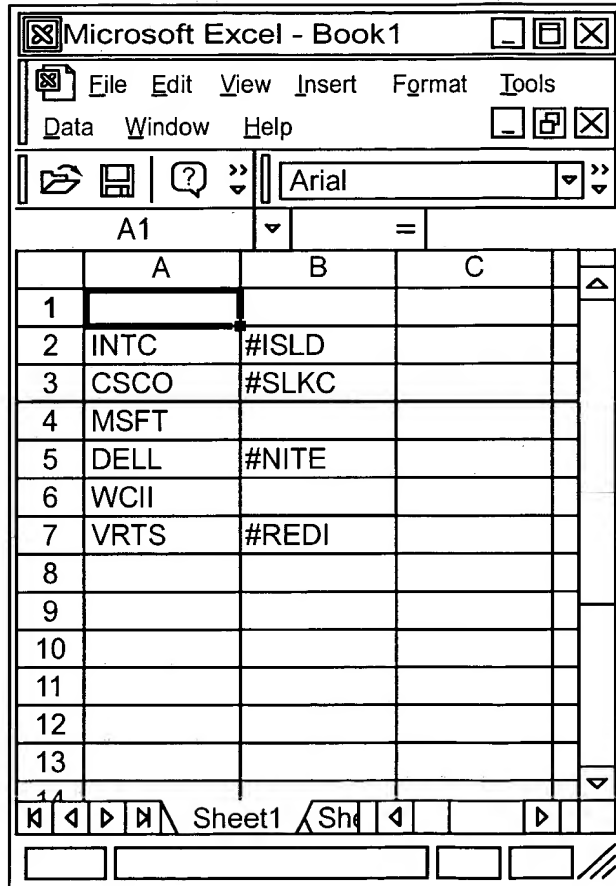
660

FIG. 24





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GROUP 3600



672

FIG. 25

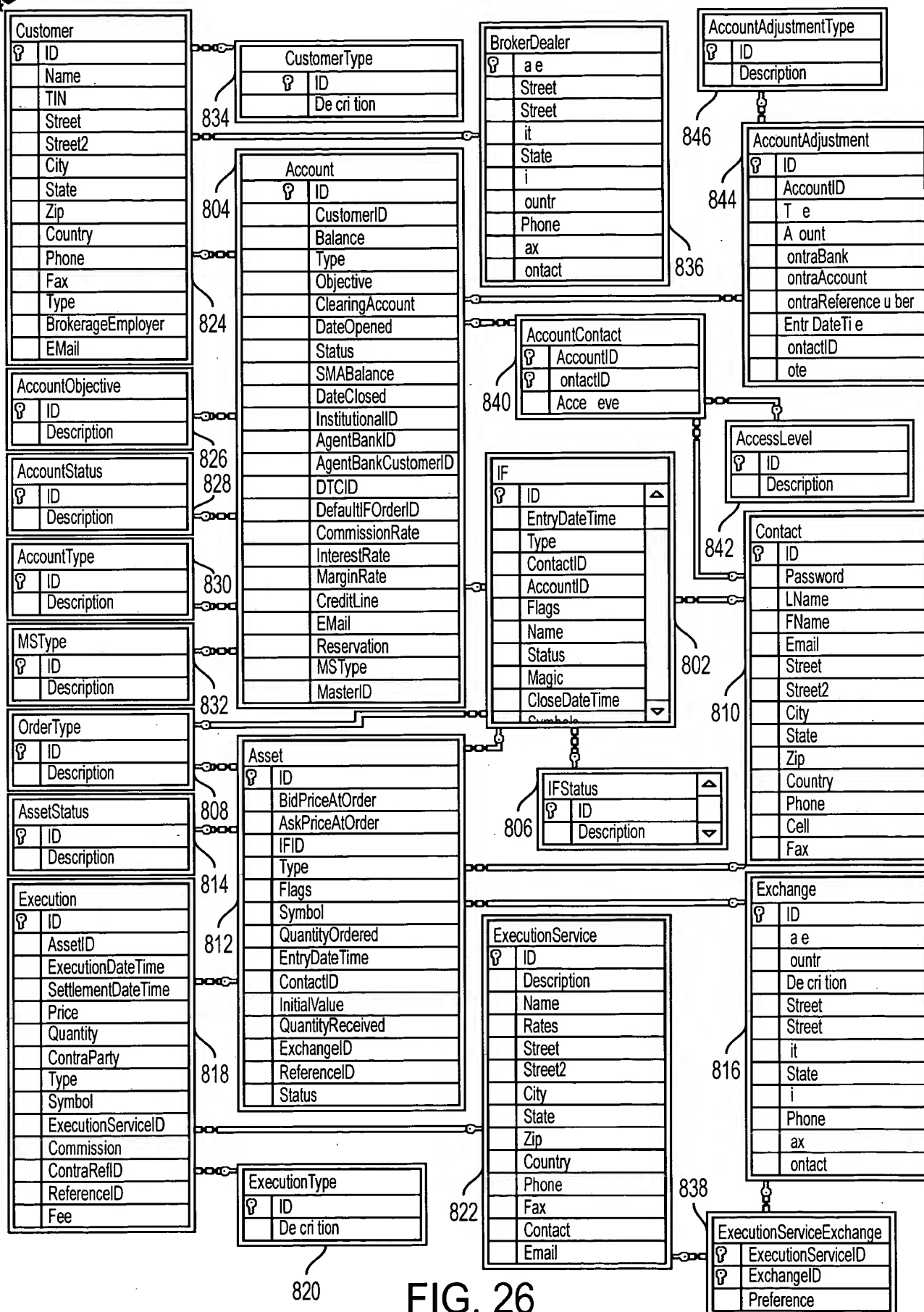


FIG. 26



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GROUP 3600

IF	
Ⓜ	ID
	EntryDateTime
	Type
	ContactID
	AccountID
	Flags
	Name
	Status
	Magic
	CloseDateTime
	Symbols
	WeightType
	Amount
	ShareThreshold

802

FIG. 26A



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GROUP 3600

860

Gallery	
	AccountID
	Name
	Symbols
	Created
	WeightType
	Amount
	LastModified
	Flags
Ⓜ	ID
	ShareThreshold

FIG. 27